IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Axel ULLRICH et al.

Title:

FLK-1 IS A RECEPTOR FOR VASCULAR ENDOTHELIAL

GROWTH FACTOR

Appl. No.:

10/799,782

Filing Date:

3/15/2004

Examiner:

Lorraine Spector

Art Unit:

1647

Confirmation

8953

Number:

TERMINAL DISCLAIMER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Your Petitioners, MAX-PLANCK-GESELLSCHAFT ZUR FÖRDERUNG DER WISSENSCHAFTEN, E.V., having its principal place of business at AM KLOPEFERSPITZ 18A, 82152 MARTINSRIED, GERMANY, and YISSUM RESEARCH, DEVELOPMENT COMPANY OF THE HEBREW UNIVERSITY OF JERUSALEM, 46 JABOTINSKY STREET, P.O. BOX 4279, JERUSALEM 91042, ISRAEL, represent that they are the owner of the entire right, title, and interest in and to U.S. Patent Application Serial No. 10/799,782, filed 3/15/2004, which is a division of U.S. Patent Application No. 09/766,678, filed 1/23/2001 (now U.S. Patent No. 6,872,699) which is a continuation of U.S. Application Serial No. 08/965,598, filed November 6, 1997 (now abandoned) which is a continuation of U.S. Application Serial No. 08/193,829, filed February 9, 1994 (now U.S. Patent No. 6,177,401) by virtue of an Assignment

of U.S. Application Serial No. 08/193,829 (now U.S. Patent No. 6,177,401) filed and recorded on June 23, 1994, on Reel/Frame 007033/0720 and Reel/Frame 007036/0807, in the United States Patent and Trademark Office, copies of which are attached hereto as APPENDIX A.

Your petitioners MAX-PLANCK-GESELLSCHAFT ZUR FÖRDERUNG DER WISSENSCHAFTEN, E.V., and YISSUM RESEARCH, DEVELOPMENT COMPANY OF THE HEBREW UNIVERSITY OF JERUSALEM represent that they are the owner of the entire right, title, and interest in and to U.S. Patent Application Serial No. 08/443,861 (now U.S. Patent No. 5,851,999) which is a division of U.S. Application Serial No. 08/193,829, filed February 9, 1994 (now U.S. Patent No. 6,177,401) by virtue of an Assignment of U.S. Application Serial No. 08/193,829 (now U.S. Patent No. 6,177,401) filed and recorded on June 23, 1994, on Reel/Frame 007033/0720 and Reel/Frame 007036/0807, in the United States Patent and Trademark Office (APPENDIX A).

Your Petitioners, MAX-PLANCK-GESELLSCHAFT ZUR FÖRDERUNG DER WISSENSCHAFTEN, E.V., and YISSUM RESEARCH, DEVELOPMENT COMPANY OF THE HEBREW UNIVERSITY OF JERUSALEM hereby disclaim the terminal part of the term of any patent granted on the above identified patent application which would extend beyond the full statutory term, as shortened by any terminal disclaimer, of U.S. Patent 5,851,999, and hereby agree that any patent so granted on the above identified patent application shall be enforceable only for and during such period that U.S. Patent 5,851,999 and any patent granted on the above identified patent application are commonly owned, this agreement to run with any patent granted on the above identified patent application and to be binding upon the grantee, its successors or assigns.

In making the above disclaimer, Petitioners do not disclaim any terminal part of any patent granted on the above identified patent application, prior to the full statutory term of U.S. Patent 5,851,999 as defined in 35 U.S.C. §§154-156 and 173, in the event that U.S. Patent 5,851,999 expires for failure to pay a maintenance fee, is held unenforceable or is found invalid

in a final judgment by a court of competent jurisdiction, is statutorily disclaimed in whole or terminally disclaimed under 37 CFR §1.321(a), has all claims canceled by a reexamination certificate or as a result of an interference proceeding, or is otherwise not deemed to provide the rights conveyed by 35 USC §154, prior to the full statutory term of U.S. Patent 5,851,999 as defined in 35 USC §§154-156 and 173, except for the separation of ownership stated above. Further, Petitioners do not disclaim any terminal part of a patent granted on the above identified patent application that would extend beyond the present termination of U.S. Patent 5,851,999, in the event that such present term is extended by virtue of compliance with the conditions for term extension of any present or future patent term extension provisions of the patent law, including but not limited to 35 U.S.C. §§155, 155A or 156, and without waiving Petitioner's right to extend the term of a patent granted on the above identified patent application to the extent provided by law. The undersigned, being the Attorney of Record for the above identified patent application, and duly authorized to act on behalf of Petitioners, certifies that she has reviewed the Assignments attached as APPENDIX A, and to the best of her knowledge and belief, ownership to the above identified patent application and U.S. Patent 5,851,999 rests with Petitioners, MAX-PLANCK-GESELLSCHAFT ZUR FÖRDERUNG DER WISSENSCHAFTEN, E.V. and YISSUM RESEARCH, DEVELOPMENT COMPANY OF THE HEBREW UNIVERSITY OF JERUSALEM.

Attorney Docket No. 017853-0145 Application No. 10/799,782

The undersigned declares that all statements made herein of her own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the above-identified application or any patent issuing therefrom.

Respectfully submitted,

Date: June 16, 2010

FOLEY & LARDNER LLP Customer Number: 22428 Telephone: (202) 672-5538

Facsimile: (202) 672-5399

Michele M. Simkin Attorney for Applicant Registration No. 34,717

APPENDIX A



United States Patent and Trademark Office

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Assignments on the Web > Patent Query

Patent Assignment Abstract of Title

NOTE:Results display only for issued patents and published applications. For pending or abandoned applications please consult USPTO staff.

Total Assignments: 2

Patent #: 6177401 Issue Dt: 01/23/2001 Application #: 08193829 Filing Dt: 02/09/1994

Inventors: AXEL ULLRICH, WERNER RISAU, BIRGIT MILLAUER

Title: USE OF ORGANIC COMPOUNDS FOR THE INHIBITION OF FLK-1 MEDIATED VASCULOGENESIS AND ANGIOGENESIS

Assignment: 1

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignors: <u>ULLRICH, AXEL</u> Exec Dt: 05/26/1994

 RISAU, WERNER
 Exec Dt: 06/06/1994

 MILLAUER, BIRGIT
 Exec Dt: 06/07/1994

Assignee: MAX-PLANCK-GESELLSCHAFT ZUR FORDERUNG DER WISSENSCHAFTEN E.V.

AM KLOPFERSPITZ 18A 82152 MARTINSRIED, GERMANY

GERMANY

Correspondent: PENNIE & EDMONDS

LAURA A. CORUZZI

1155 AVENUE OF THE AMERICAS NEW YORK, NEW YORK 10036

Assignment: 2

Reel/Frame: 007036/0807 Recorded: 06/23/1994 Pages: 4

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignors: GAZIT, AVIV Exec Dt: 06/01/1994

<u>LEVITZKI, ALEX</u> **Exec Dt:** 06/07/1994

Assignee: YISSUM RESEARCH, DEVELOPMENT COMPANY OF THE HEBREW UNIVERSITY OF JERUSALEM

46 JABOTINSKY STREET P.O. BOX 4279

JERUSALEM 91042

ISRAEL

Correspondent: LAURA A, CORUZZI

PENNIE & EDMONDS

1155 AVENUE OF THE AMERICAS

NEW YORK, NY 10036

Search Results as of: 03/02/2010 01:21 PM

If you have any comments or questions concerning the data displayed, contact PRD / Assignments at 571-272-3350.

Web interface last modified: October 18, 2008 v.2.0.2

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Box	EUNER OF PATENTS AND TRADEMARKS Assignments gion, DC 20231
Please record the attached	original documents or copy thereof.
1. Name of conveying party(los):	2\ Name and address of receiving party(ies):
Axel Ullrich, Werner Risau, and	Name: Max-Planck-Gesellschaft sur
Sirgit Millauer	Förderung der Wissenschaften e.V.
Additional name(s) of conveying party(ics) attached? Yu	No Address: Am Klopferspits 181
3) Nature of conveyance:	82152 Martinsried, Germany
ØAssignment '□Merger	Country (if other than USA): Gormany
☐Security Agreement ☐Change of Name	
Dotai	-
Execution Date: 5/26/94, 6/6/94 & 6/7/94	7 7 7 1 1 2 1 1 1 1 1 1 1 1 1 1 1 1 1 1
Application number(s) or patent number(s):	13 E
If this document is being filed together with a new application, the ex	sucution date of the application is:
A. Patent Application No.(s) 08/193,829	B. Patent No.(s)
Additional members	attachof? 🗆 Yes 🖾 No
5) Name and address of party to whom correspondence concerning document should be mailed:	Number of applications and patents involved:
PERRIE & EDMONDS 1155 Avenue of the Americas New York, NY 10036	Total for (57 CFR 3.41):
	8. Deposit account number: 16-1150 (A copy of this page is attached)
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original document.	mation is true and correct and any attached copy is a true copy of the 12 fer Lawr A. Lawry June 23, 1964 by Delivery Ellerty Peg# Date 33, 1989 Total number of pages including cover sheet: 5
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ASSENMENT

	WHEREAS, WE, And Ulirich, Werner Risal, and Bargh Halland, 1800 Affiches 40, Germany, citizen of Germany; Rottenbucherstr. 54, 8032 Grafelfing, Germany, citizen of Germany; and Bodenstedstr. 54, 8000 Minches 60, Germany, citizen of Germany are the inventions of the invention in Flk-1 IS A ECEPTOR FOR VASCULAR ENDOTHELIAL GROWTH PACTOR for which we have executed an application for Patent of the United States
	which is executed on ceven date herewith or ceven date herewith or ceven date
	which is identified by Pennie & Edmonds docket no. 7683-060 Which was filed on Pebruary 9, 1994, Serial No. 08/193,829
;	and WHEREAS, Max-Planck-Gesellschaft zur Förderung der Wintenschaften e.V., ASSIGNEE is desirous of obtaining the entire right, title and interest in, to and under the said invention and the said application:
	NOW, THEREPORE, in consideration of the sum of One Dolfar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNORS, its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may be reafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or say other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and releases thereof;
•	AND WE HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to itsue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSEGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.
	AND WE HEREBY coverient and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.
	AND WE HEREBY further covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all iswful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful outs, and generally do everything possible to aid the said ASSEINEE, its successors, legal representatives and assigns, to obtain and emorce proper protection for said invention in all countries.
	IN TESTIMONY WHEREOF, We hereunto set our hands and seals the day and year set opposite our respective signatures.
	Date 5/26/94, 1994 Signed: Axel Ullrich
	City of) SS.:
	On this day of, 1994, before me, a Notary Public in and for the City and Country aforesaid, personally appeared _Axef Ultrich_, to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and he acknowledged the same to be his free act and deed.
	Notary Public.

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Comm. # 986074	the same in his/her/their authorized
Son Mains County	canacity(fine), and that by his/her/their
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	or the entity upon behalf of which the
	person(e) acted, executed the instrument.
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Des June 6	, 1994 Signed:	Seffling Werner Rie
City of Country of) ss.:	
On this day personally appeared scaled the foregoing is	Warmer Direct to me known a	e me, a Notary Public in and for the City and Country aforesated in the law as to me to be the person of that name, who signed a the same to be his free act and deed.
•		Notary Publ
Date	, 1994 Signed:	Birgit Milla
City of Country of	} ss .:	
On this dz personally appeared scaled the foregoing	y of	re me, a Notary Public in and for the City and Country aforess and known to me to be the person of that name, who signed a the same to be his free act and deed.
• •		Notary Pub

Mr. 145 der Urkundenrolle für 1994

Vorstehende, vor mir vollzogene Unterschrift des Herrn Dr. Werner August Risau, geb. am 18.12.1953, wohnhaft in 35510 Butbach/Nieder Weisel, Dresdener Straße 2, ausgewiesen durch Reisepaß Nr. 8261045060, beglaubige ich.

Friedberg/Hessen, den 06. Juni 1994

Notar

	Danie 19	994 Signed:	
			Werner Risau
	City of	y	
	Country of) SS.:	
	On this day of	, 1994, before me, a Notary Public in and	for the City and Country aforesaid,
	personally appeared <u>Werner</u> scaled the foregaing instrumer	Richt, to me known and known to me to be the pent, and he acknowledged the same to be his free act as	d deed.
.*			
			Notary Public.
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* *	Date 6-7- 19	994 Signed: Birght	Birrit Millaner
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document is being filed together with a new application	a, the execution date of the application is:
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AUTHENTICATION OF SIGNATURE

אימות חתימה

	ייי יייי היי היי היי מוד ל
the undersigned He N R B R H Z A H L Notary of Jerusalem, 12 Coreah St	ישים ב ירושלים, רח' בורש 12
hereby control that on 1.6.1994	יששר פי פיש 1.66,94
there appeared before me at my office Mr. (Mrs.,	אביב בזית אביב אבינון לה יישו שישון
Mine) Aviv GAZIT	
to me personally (where identity was preved to	(שומשרות) ואכונו לי על פי חשרת אמשרות), 1. 2019 ב-1019 ב
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	שופה מהי ו הקנות הנוטריונים השליו 1761 הבנה ההדפה כדי לשכה שוכי הדין, וער מוצוי ה'אמי.
	•

ASSIGNMENT

WHEREAS, WE, Aviv Gazit and Alex Levitzki, ASSIGNORS, residing at NOF Harim 14, Jerusalem, Israel, citizen of Israel; and 36 Palmach, Jerusalem, Israel, citizen of Israel, are the inventions of the invention in Fit-1 IS A RECEPTOR FOR VASCULAR ENDOTHELIAL GROWTH FACTOR for which we have executed an application for a Patent of the United States

	□ which is executed on □ even date herewith or □(date)	
	which is identified by Pennic & Edmonds docket no. 7683-060 which was filed on February 9, 1994, Serial No. 05/193,829	
	and WHEREAS, Yissum Research, Development Company of the Hebrew University of Jerusalem, ASSIGNEE is desirous of obselving the entire right, title and interest in, to and under the said invention and the said application:	
	NOW, THEREPORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said ASSIGNORS, have sold, assigned, transferred and set over, and by those presents do hereby sell, assign, transfer and set over, and by those presents do hereby sell, assign, transfer and set over, and the said invention, and its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said invention, and the said United States application and all relates and extensions thereof, and all patents of the United States which may be granted thereon and all relates and extensions thereof; and all applications for industrial property which may be granted the said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application the Protection of Industrial Property, or any other Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which my such application is filled, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models; inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all entered contents thereof;	
	AND WE HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforestid, to issue the same to the said ASSIGNEE, its accordance with the terms of this instrument.	•
	AND WE HEREBY coverant and agree that we have full right to convey the entire interest herein assigned, and the many property and will not execute, any agreement in conflict herewith.	
	AND WE HEREBY further covenant and agree that we will communicate to the strifty in any legal proceeding, sign legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign legal representatives and assigns, any facts known to us respecting applications, make all rightful order, and generally of leading reserve execute all divisional, continuing, release and foreign applications, make all rightful order.	03b FW60
7	IN TESTIMONY WHEREOF, We hereunto set our hands and seals the day and year set opposite our respective signatures.	
		-
	exset	
	Date 1-6-1994 , 1994 Signed:	
	Cky of Jerusalen) SS.:	

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Israel Country of

BAAAB

On this _____ day of _____ 1994, before me, a Notary Public in and for the City and Country aforesaid, personally appeared _____ Aviv Gazit_, to me known and known to me to be the person of that name, who signed and scaled the following thintrument, and he acknowledged the same to be his free act and deed.

Notary Public.

ח. נבנצל, מ

H HEMBIZALL MOTARY

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PATENT & TRADIT HARK OFFICE

PATENT & TRADIT HARK OFFICE

State of California

SS.:

County of

1994 before me the undersigned, a Notary Public for the State of California, personally appeared Alex Levizki personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose mame is subscribed to the within instrument and acknowledged to me that he executed the same is his sendorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person agend, examined the instrument.

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PURPOSE ACKNOWLEDGMENT OPTIONAL SECTION CAPACITY CLAIMED BY SIGNER Though eletute does not require the Notary to fill in the data below, doing so may prove duable to persons relying on the docu DINDIVIDUAL CORPORATE OFFICER(S) TITLE(S) personally appeared PARTNER(8) LIMITED GENERAL personally known to me - OR - Proved to me on the basis of satisfactory evidence to be the person(e) whose name(s) is/are ATTORNEY-IN-FACT subscribed to the within instrument and ac-TRUSTEE(S) knowledged to me that he/sha/they executed G.R. LAGOMARSINO GUARDIAN/CONSERVATOR the same in his/her/titeir authorized m. # 969074 capacity(ies), and that by his/her/their OTHER: . signature(s) on the instrument the person(3), or the entity upon behalf of which the person(s) acted, executed the instrument. SIGNER IS REPRESENTING: WE OF PERSON(8) OR ENTITY(IES) WITNESS my hand and official seal. on arteur OPTIONAL SECTION TITLE OR TYPE OF DOCUMENT_ THIS CERTIFICATE MUST BE ATTACHED TO THE DOCUMENT DESCRIBED AT RIGHT: DATE OF DOCUMENT NUMBER OF PAGES_ SIGNER(S) OTHER THAN NAMED ABOVE ded here is not re G1983 NATIONAL NOTARY ASSOCIATION - 8236 Remmet Ave., P.O. Box 7184 - Canogle Park, CA 91305-7184